

Overview

Loop Capital Markets LLC (“Loop Capital”) and its family of affiliated companies are committed to maintaining the trust and confidence of our clients and customers. The following is provided for information purposes. We want you to understand how we protect your privacy when we collect and use personal information in the course of business, as well as the measures we take to safeguard your information. Keeping customer information secure and private is a priority at Loop Capital.

Loop Capital has established the following guidelines to comply with the Gramm Leach Bliley Act (“Act”). Our policy regarding customer privacy and the use of non-public personal information applies to both current and former customers, and is designed to comply with the privacy provisions in Title V of the Act, as well as applicable federal privacy regulations.

Please take a moment to review our Privacy Policy and contact us with any questions you may have.

Collecting Non-public Personal Information

While providing service to you, we collect non-public personal information from sources including, but limited to, the following:

- Account Applications and other standard forms i.e. name, address, tax identification number, asset types and amounts of investments, transactions and income;
- Information we receive as a result of the transactions that you undertake with us or an affiliate; and
- Information about your transactions we receive from our clearing firm and/or any consumer reporting agency.

Protecting the Confidentiality and Security of Non-Public Personal Information

At Loop Capital, we restrict access to any personal and/or account information to those employees who require the information to deliver the products and services you request. Accordingly, we maintain physical, electronic and procedural safeguards to protect non-public customer information.

Disclosing Non-public Personal Information to Non-affiliated Third Parties

It is Loop Capital’s policy not to sell, share or disclose information or records regarding current or former customers with non-affiliated third-party marketing companies or anyone, except as permitted or required by law. Loop Capital may disclose non-public personal information as necessary to process transactions on your behalf, to conduct our operations, to follow your instructions as authorized, to protect the security of our financial records and to comply with requests during regulatory reviews and examinations.

Disclosing Non-public Personal Information to Loop Capital Affiliates

Loop Capital is permitted by law to share within our family of affiliated companies, information about our transactions or experiences with you, such as account information or investment history.

How we use cookies

A cookie is a small file which asks permission to be placed on your computer’s hard drive. Once you agree, the file is added and the cookie helps analyze web traffic or lets you know when you visit a particular site. Cookies allow web applications to respond to you as an individual. The web application can tailor its operations to your needs, likes and dislikes by gathering and remembering information about your preferences. We use traffic log cookies to identify which pages are being used. This helps us analyze data about webpage traffic and improve our website in order to tailor it to customer needs. We only use this information for statistical analysis purposes and then the data is removed from the system. Overall, cookies help us provide you with a better website, by enabling us to monitor which pages you find useful and which you do not. A cookie in no way gives us access to your computer or any information about you, other than the data you choose to share with us. You can choose to accept or decline cookies. Most web browsers automatically accept cookies, but you can usually modify your browser setting to decline cookies if you prefer. This may prevent you from taking full advantage of the website.

Loop Capital reserves the right to change this policy at any time and you will be notified if changes occur. If you have any questions regarding our Privacy Policy, please contact Loop Capital’s Compliance Department at compliance@loopcapital.com.

This Privacy Policy applies to customers or former customers of Loop Capital, LLC and its affiliated companies, including Loop Capital Markets LLC, Loop Capital Financial Consulting Services, LLC, Loop Capital Investment Management, LLC, Loop Capital Asset Management LLC and Loop Capital Real Estate Ventures LLC.